

Customer No. 62274  
 Dardi & Associates  
 US Bank Plaza, Suite 2000  
 220 South 6th Street  
 Minneapolis, Minnesota 55402  
 Telephone: (612) 746-3005  
 Facsimile: (612) 746-3006

Attorney Docket No. 3275.28US01

REQUEST FOR CONTINUED EXAMINATION  
 (RCE) TRANSMITTAL

Mail Stop RCE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of Application No. 09/917,438, filed July 27, 2001 for: GEBPSG TOP CLAD FOR A PLANAR LIGHTWAVE CIRCUIT, by: Fan Zhong and Michael Lennon.

1. Submission required under 37 C.F.R. § 1.114
  - a. ☐ Previously submitted
    - ☐ Please enter in the present application the unentered Amendment under 37 C.F.R. § 1.116, with any attachments, filed on \_\_\_\_\_ in said prior application.
    - ☐ Consider the arguments in the Appeal Brief or reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - b. ☒ Enclosed
    - ☒ A Preliminary Amendment is enclosed. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered claim in the prior application.
    - ☐ Affidavit(s)/Declaration(s)
    - ☐ Information Disclosure Statement (IDS)
    - ☐ Other \_\_\_\_\_

2. ☒ The filing fee is calculated below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra (Equals)	Small Entity Rate	Add'l Fee	OR	Large Entity Rate	Add'l Fee
Total	16	- [17]	=	x 25	\$0.00		x 50	\$
Indep.	2	- [3]	=	x 100	\$0.00		x 200	\$
RCE fee				+ 395	\$395.00		+ 790	\$
Mult. Dep.			=	+ 180	\$		+ 360	\$
TOTAL					\$395.00	OR	TOTAL	\$

☐ First Presentation of Multiple Dependent Claim [MDC]

- \* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

RCE of U.S. Application No. 09/917,438  
Filed July 27, 2001

3. [X] The Commissioner is authorized to charge Deposit Account No. 50-3863 in the amount of \$395.00 (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed). The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. § 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 50-3863.

Respectfully submitted,




Peter S. Dardi, Ph.D.  
Registration No. 39,650

*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863.*

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 571-273-8300 on the date shown below.

July 20, 2006  
Date

  
Peter S. Dardi, Ph.D.